



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,323	05/10/2001	Takeshi Hoshida	064731.0183

CONFIRMATION NO. 5870

## FORMALITIES LETTER



\*OC000000006368597\*

Terry J. Stafford, Esq.  
Baker Botts L.L.P.  
Suite 600  
2001 Ross Avenue  
Dallas, TX 75201-2980

Date Mailed: 08/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

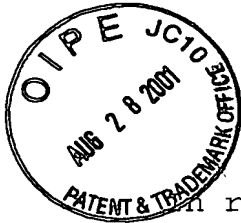
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2001 NROCHA1 00000045 09853323

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130.00 OP



08-30-01

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Takeshi (nmi) Hoshida, et al.

Serial No. 09/853,323

Date Filed: May 10, 2001

Title: METHOD AND SYSTEM FOR TRANSMITTING  
INFORMATION IN AN OPTICAL  
COMMUNICATION SYSTEM USING  
DISTRIBUTED AMPLIFICATION

**BOX MISSING PARTS**

Honorable Assistant Commissioner

For Patents

Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, and a firm check in the amount of \$130.00 to cover the surcharge for a non-small entity, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 28th day of August, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt

No. EL 759105739 US

Attorney's Docket: 064731.0183



ATTORNEY'S OFFICE  
064731.0183

#4  
PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Takeshi (nmi) Hoshida, et al.  
Serial No.: 09/853,323  
Filing Date: May 10, 2001  
Title: METHOD AND SYSTEM FOR TRANSMITTING  
INFORMATION IN AN OPTICAL  
COMMUNICATION SYSTEM USING  
DISTRIBUTED AMPLIFICATION

**BOX MISSING PARTS**

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted mailed August 1, 2001. Applicant submits herewith:

(a) A copy of the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted.

(b) An executed Declaration and Power of Attorney for the above-referenced  
patent application, which was filed May 10, 2001.

ATTORNEY DOCKET NO.  
064731.0183

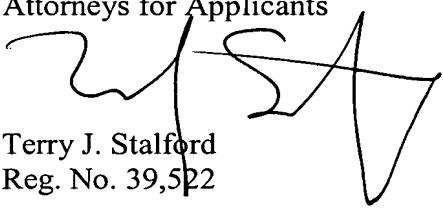
PATENT APPLICATION  
09/853,323

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(c) A check in the amount of \$130.00 is enclosed for the surcharge for a non-small entity.

(d) The Commissioner is hereby authorized to charge any additional amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
Terry J. Stalford  
Reg. No. 39,522

Date: 8/28/01

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